

**Project Name:** Welfare to Work Performance Data Contract  
**OCIO Project #:** \_\_\_\_\_  
**Department:** California Department of Social Services  
**Revision Date:** \_\_\_\_\_

# Concept Statement

---

## Description

---

**Brief description of the proposed project:**

Recent changes to federal regulations have resulted in California's failure to meet the Temporary Assistance for Needy Families (TANF) work participation rate (WPR) requirements, which has placed the state at risk of financial penalties that increase each year the state fails to meet WPR. To help increase the WPR and avoid future fiscal penalties, CDSS proposes the creation of a statewide database utilizing data from the county's automated systems to create county WPR and engagement reports. The data would be housed by a vendor initially and transferred in-house after the development of the final stage is completed.

---

## Need Statement

---

**High Level Capabilities Needed:**

Capable of providing statewide and county-by-county views of TANF/CalWORKs case and client data within a web-based architecture that is user friendly. Allow users to drill down for detailed data, or drill across to view data details covering multiple counties, or clients. In addition ability to store point-in-time data, as well as data over time (longitudinal data) that can be used to perform statistical analysis, budgeting, trending and forecasting. The final stage of contract will require in-house storage space for all statewide county data.

**What is Driving This Need?**

Passage of the federal Deficit Reduction Act of 2005 (DRA) has resulted in California's failure to meet the TANF WPR requirements. Failure to meet requirements will result in fiscal penalties that increase each year requirements are not met. There is no statewide consolidated view of CalWORKs data. The state relies on disparate systems utilized by the counties to provide data, which must then be aggregated to obtain a statewide view of the TANF/CalWORKs program thus limiting the usefulness and timeliness of the data in evaluating and improving program performance.

**Risk to the Organization if This Work is Not Done:**

Federal fiscal penalty for not meeting WPR requirements of 5% of the TANF block grant for first year (FFY 2007, California already subject to this penalty). The penalty increases 2% each subsequent year the state fails to meet WPR requirements, up to 21% of the TANF block grant. The current challenges with data collection will continue and weaken efforts to increase engagement and WPR.

# CA - PMM

**Project Name:** Welfare to Work Performance Data Contract

**OCIO Project #:**

**Department:** California Department of Social Services

**Revision Date:**

## Concept Statement

---

---

### Benefit Statement

---

---

#### Intangible Benefits

**Process Improvements** (describe the nature of the process improvement):

Improve CDSS' ability to more accurately and timely, assess the counties' performance in meeting the WPR in time to make proactive decisions about the direction of the state's program and identify opportunities to provide technical assistance to counties that are having difficulty engaging clients or are at-risk of not meeting WPR. This will also improve the ability to estimate the CalWORKs budget and the fiscal impacts using universal data rather than fragmentary data obtained from disparate systems used among counties. Counties will be better able to target their resources on effective interventions serving clients.

**Other Intangible Benefits:**

Improve avenue for counties to share and transmit data to the state. Allow CDSS to respond more effectively and timely to inquiries from the Legislature, Legislative Analyst's Office (LAO), Department of Finance (DOF), Health and Human Services (HHS), the federal government, and a host of other interested stakeholders.

#### Tangible Benefits

**Revenue Generation** (describe how revenue will be generated):

**Cost Savings** (describe how cost will be reduced):

Will help the state to avoid federal penalties for failing to meet WPR and position the state for corrective compliance which may reduce the amount of an imposed penalty.

# CA - PMM

**Project Name:** Welfare to Work Performance Data Contract  
**OCIO Project #:** \_\_\_\_\_  
**Department:** California Department of Social Services  
**Revision Date:** \_\_\_\_\_

## Concept Statement

**Cost Avoidance** (describe the cost and how avoided):  
 Modest spending on implementation of project now will help the state to achieve a higher federal WPR, and also help to avoid sizable penalties in the future as a result of not meeting WPR.

**Risk Avoidance** (describe the risk and how avoided):  
 The ability to more accurately assess and make improvements to the CalWORKs program that will help CDSS become more targeted on opportunities to improve WPR and would avoid the risk of federal fiscal penalties assessed each year the state fails to meet WPR.

**Improved Services:**  
 By improving the ability to evaluate program effectiveness, CDSS will be able to make more effective changes to CalWORKs policies and procedures that will assist many more TANF/CalWORKs clients in achieving self-sufficiency. This will also allow CDSS to provide feedback to stakeholders regarding systemic concerns about the CalWORKs program.

### Consistency

"No" Responses 		Rationale	Action Required
Enterprise Architecture	Yes		
Business Plan	Yes		
Strategic Plan	Yes		

### Impact to Other Agencies

#### Nature of Impact to Other Agencies

**Agency:** \_\_\_\_\_  
*Describe the nature of the impact:*  
 It will allow for better calculation and analyzes of trends that ultimately would lead to increased opportunities for technical assistance to counties facing challenges in keeping clients engaged in activities or in meeting WPR. Provides a central reporting system.

# CA - PMM

**Project Name:** Welfare to Work Performance Data Contract

**OCIO Project #:**

**Department:** California Department of Social Services

**Revision Date:**

## Concept Statement

challenges in keeping clients engaged in activities or in meeting with them. Provide a central reporting system.

**Agency:**

*Describe the nature of the impact:*

Case management data is recorded into this system, which is comprised of four separate and distinct automated public assistance systems operating under a multiple-county consortium platform (LEADER [Los Angeles only], C-IV, ISAWS, and CalWIN). This proposal will require each consortia to assist the vendor to develop a process to transfer the casefile data with CDSS and the counties within the consortia monthly.

**Agency:**

*Describe the nature of the impact:*

**Agency:**

*Describe the nature of the impact:*

# CA - PMM

**Project Name:** Welfare to Work Performance Data Contract

**OCIO Project #:**

**Department:** California Department of Social Services

**Revision Date:**

## Concept Statement

### Solution Alternatives

#### Alternative 1:

Statewide Automated Welfare System (SAWS) which is comprised of four separate and distinct county consortia (C-IV, LEADER, ISAWS, and CalWIN) to generate statewide reports of program performance for CDSS.

#### Technical Considerations for Alternative 1:

SAWS configuration cannot generate a consolidated statewide report at this time across all four consortia systems. All four consortia systems would require development of separate reports that may not be uniform across the state.

ROM Cost: \_\_\_\_\_ to \_\_\_\_\_

Note: high end of range must not exceed 200% of low end of range

#### Alternative 2:

#### Technical Considerations for Alternative 2:

ROM Cost: \_\_\_\_\_ to \_\_\_\_\_

Note: high end of range must not exceed 200% of low end of range

#### Alternative 3:

# CA - PMM

**Project Name:** Welfare to Work Performance Data Contract

**OCIO Project #:**

**Department:** California Department of Social Services

**Revision Date:**

## Concept Statement

### Technical Considerations for Alternative 3:

ROM Cost: \_\_\_\_\_ to \_\_\_\_\_

**Note:** high end of range must not exceed 200% of low end of range

### Recommendation

#### Comparison:

Alternative 1	ROM Cost			Risk
SAWS Generated reports	\$0	-	\$0	<i>Increased costs and data are not uniform across state</i>
Alternative 2	ROM Cost			Risk
	\$0	-	\$0	
Alternative 3	ROM Cost			Risk
	\$0	-	\$0	

#### Conclusions:

1	May be expensive (each consortia to develop own process and reports), and data may not be uniform.
2	
3	
4	

# CA - PMM

**Project Name:** Welfare to Work Performance Data Contract

**OCIO Project #:** \_\_\_\_\_

**Department:** California Department of Social Services

**Revision Date:** \_\_\_\_\_

## Concept Statement

**Recommendation:**

The statewide performance data contract is the least costly and most efficient way to accomplish the goal of improved data tracking and case management for the CalWORKs program.

### Concept Approach (if known)

<b>System Complexity:</b>		System Business Hours: (e.g., 24x7, 9am-5pm) :		
Architecture	<input type="checkbox"/> Mainframe <input type="checkbox"/> Client Server <input type="checkbox"/> Web Based			Num. of New Databases: _____
Technology	<input type="checkbox"/> New <input type="checkbox"/> New to Staff <input type="checkbox"/> In-House Experience			Interfaces: _____
Implementation	<input type="checkbox"/> Central Site <input type="checkbox"/> Phased Roll-out			Num. of Sites: _____
M & O Support	<input type="checkbox"/> Contractor <input type="checkbox"/> Data Center <input type="checkbox"/> Project <input type="checkbox"/> Returned to Sponsor			
Procurement Approach: <i>(consult with OSI Procurement Center)</i>				Number of Procurements: _____
Open Procurement?		Delegated Procurement?		
Scope of Contract	<input type="checkbox"/> Development <input type="checkbox"/> Implementation <input type="checkbox"/> M & O <input type="checkbox"/> Other: _____			
Anticipated Length of Contract:		Years / _____ extensions for _____ years		